

U.S. Express Mail Label No. EL639070763US

Docket No. 036258.0202

**FOLEY & LARDNER**  
2029 Century Park East - Suite 3500  
Los Angeles, CA 90067-3021

TO: Commissioner for Patents  
Box Patent Application  
Washington D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53(b)**

Transmitted herewith for filing is the patent application of:

**INVENTOR(S): Tony J. Gullotta, Jeffrey S. Bohren,  
Liangtong Chen, and Jeffrey C. Curie**

**TITLE: SYSTEM AND METHOD FOR PROVISIONING RESOURCES  
TO USERS BASED ON ROLES, ORGANIZATIONAL  
INFORMATION, ATTRIBUTES AND THIRD-PARTY  
INFORMATION OR AUTHORIZATIONS**

In connection with this application, the following are enclosed:

**APPLICATION ELEMENTS:**

- ☒ Specification (including Abstract): 39 pages
  - ☒ Claims: 10
  - ☒ Formal Drawings: 11 Sheets
  - ☐ Power of Attorney By Assignee and Exclusion of Inventor Under Rule 37 C.F.R. § 3.71
  - ☒ Declaration Under 37 C.F.R. § 1.63
    - ☒ Unsigned
    - ☐ Executed (original or copy)
    - ☐ Copy from a prior application (37 CFR 1.63(d))
- (relates to continuation/divisional boxes completed) - NOTE: Box below

**ACCOMPANYING APPLICATION PARTS:**

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ Revocation and Power of Attorney
- ☐ Information Disclosure Statement(IDS) with PTO-1449.
  - ☐ Copies of IDS Citations
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ Check No. 14029 in the amount of \$355.00 for filing fee.
- ☐ Check No. in the amount of \$ for assignment recordal fee.
- ☒ Certificate of Mailing By Express Mail
- ☒ Return Receipt Postcard (MPEP 503)
- ☒ Small Entity Statement(s): Pursuant to 37 C.F.R. § 1.27, Applicants claim small entity status.
  - ☐ Statement filed in prior application, status still proper and desired.
- ☐ Certified Copy of Priority Document(s) with Claim of Priority (if foreign priority is claimed).

**CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Serial No. \_\_\_\_  
☐ Amend the specification by inserting before the first line the following sentence: --This application is a continuation, ☐ divisional or ☐ continuation-in-part of application Serial No. \_\_, filed \_\_--

**CORRESPONDENCE ADDRESS:**

Please address all future correspondence to:

Ted R. Rittmaster at the Foley & Lardner address noted above.

Telephone: (310) 277-2223

Fax Number: (310) 557-8475

**FEE CALCULATIONS:** (Small entity fees indicated in parentheses.)


(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710. (\$355.)
Total Claims	10 - 20 = 0	0	X \$18 (x \$9)	0.
Independent Claims	2 - 3 = 0	0	x \$80 (x \$40)	0.
Multiple Dependent Claims: 0			\$270 (\$135)	0.
Assignment Recording Fee per property			\$40	0.
Surcharge Under 37 C.F.R. 1.16(e)			\$130 (\$65)	0.
			<b>TOTAL FEE:</b>	<b>\$355.</b>

**METHOD OF PAYMENT:**

Pursuant to 37 C.F.R. § 1.27, Applicants claim small entity status. Check No. 14029 in the amount of \$355.00 is attached. If payment by check is NOT enclosed, it is requested that the Patent and Trademark Office advise the undersigned of the period of time within which to file the TOTAL FEE. If payment is enclosed, this amount is believed to be correct; however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0872. A duplicate copy is enclosed.

Respectfully submitted,

January 29, 2001  
Date

  
Glenn M. Kubota  
Reg. No. 44,197

U.S. Express Mail Label No. EL639070763US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Attorney Docket No. 036258.0202

Tony J. Gullotta, Jeffrey S. Bohren,  
Liangtong Chen, and Jeffrey C. Curie

Group Art Unit: Unassigned

Serial No.: Unassigned

Examiner: Unassigned

Filed: Concurrently herewith

For: **SYSTEM AND METHOD FOR PROVISIONING RESOURCES TO USERS BASED ON ROLES,  
ORGANIZATIONAL INFORMATION, ATTRIBUTES AND THIRD-PARTY INFORMATION  
OR AUTHORIZATIONS**

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Commissioner:

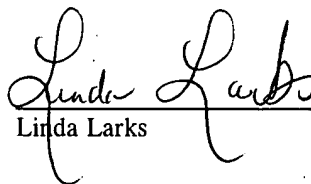
I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as Express Mail (**Express Mail Label No. EL639070763US**) under 37 C.F.R. § 1.10 on the date of deposit shown below with sufficient postage and in an envelope addressed to: Commissioner for Patents, Box Patent Application, Washington D.C. 20231.

1. Utility Application Transmittal in duplicate
2. Specification, Claims and Abstract: 39 Pages
3. Formal Drawings: 11 sheets
4. Declaration Under 37 C.F.R. § 1.63 (unsigned)
5. Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
6. Check No. 14029 for \$355.00 for filing fee
7. Return Postcard

Respectfully submitted,

January 29, 2001

Date

  
Linda Larks

Foley & Lardner  
2029 Century Park East, 35<sup>th</sup> Floor  
Los Angeles, CA 90067-3021  
Telephone: 310-277-2223  
Facsimile: 310-557-8475

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Attorney Docket No. 036258.0202

Tony J. Gullotta, Jeffrey S. Bohren,  
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Group Art Unit: Unassigned

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For: SYSTEM AND METHOD FOR PROVISIONING RESOURCES TO USERS BASED ON ROLES,  
ORGANIZATIONAL INFORMATION, ATTRIBUTES AND THIRD-PARTY INFORMATION OR  
AUTHORIZATIONS

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**

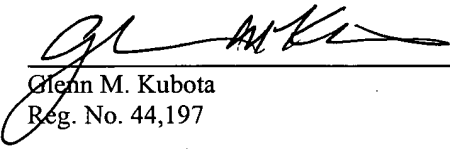
Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Applicants hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicants hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

January 29, 2001

Date

  
\_\_\_\_\_  
Glenn M. Kubota  
Reg. No. 44,197

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Los Angeles, CA 90067-3021  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

DANIEL PELLETIER

US010006

Filed: CONCURRENTLY



Title: SYSTEM AND METHOD FOR VERIFYING COMPLIANCE WITH EXAMINATION PROCEDURES

Commissioner for Patents, Washington, D.C. 20231

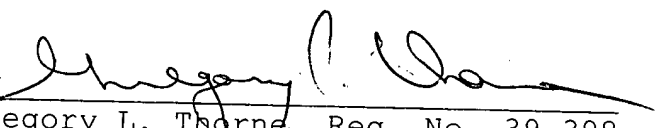
AUTHORIZATION PURSUANT TO 37 CFR \$1.136(a)(3)  
AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

By   
Gregory L. Thorne, Reg. No. 39,398  
Attorney  
(914) 333-9665